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**FACSIMILE TRANSMITTAL SHEET AND  
CERTIFICATE OF TRANSMISSION UNDER 37 CFR 1.8****TO: Examiner G. Krishnan - United States Patent and Trademark Office**

Fax No. 703-872-9306

Phone No. 571-272-0654

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office on September 7, 2004, to the above-identified facsimile number.



(Signature)

**FROM: Brent M. Peebles, Esq.**

Fax No. 513-627-8118

Phone No. 513-627-6773

Listed below are the item(s) being submitted with this Certificate of Transmission:\*\*

- 1) Transmittal Cover Sheet (In duplicate)
- 2) Response (8 pgs)

Inventor(s): Woo et al.

S.N.: 09/855,329

Filed: May 15, 2001

Case: 8086

Number of Pages Including this Page: 11

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\*\*Note: Each paper must have its own certificate of transmission, OR this certificate must identify each submitted paper.

Transmit to: Commissioner for Patents at 151-814-7300 on September 7, 2004	
Name of Attorney <i>Brent M. Peebles</i>	Registration No. 38,576
Signature of Attorney <i>Brent M. Peebles</i>	

**IN THE UNITED STATES PATENT & TRADEMARK OFFICE  
RESPONSE/AMENDMENT**

**Mail Stop Amendment**  
**COMMISSIONER FOR PATENTS**  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Transmitted herewith is an AMENDMENT for the patent application:

Application No. : 09/855,329  
Applicant(s) : Woo et al.  
Filed : May 15, 2001  
Title : Compositions Containing Cyclodextrin Derivatives  
TC/A.U. : 1623  
Examiner : G. Krishnan  
Conf. No. : 1099  
Docket No. : 8086  
Customer No. : 27752

- ☒ No additional fees (claims fees or extension fees) are known to be required.
- ☐ The fee has been calculated as shown below:

	(Col. 1)		(Col. 2)	(Col. 3)	OTHER THAN A SMALL ENTITY	
	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA*	RATE	FEE
TOTAL	*	MINUS	**	=	x \$18 =	\$
INDEP.	*	MINUS	***	=	x \$86 =	\$
FIRST PRESENTATION OF MULTIPLE DEP. CLAIM					+ \$290 =	\$
					TOTAL	\$

\* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.

\*\* If the highest number of total claims previously paid for is less than 20, write "20" in this space.

\*\*\* If the highest number of independent claims previously paid for is less than 3, write "3" in this space.

The "Highest Number Previously Paid For" (Total or Independent) is the highest number found from the equivalent box in Col. 1 of a prior amendment or the number of claims originally filed.

- ☐ The Commissioner is hereby petitioned under 37 CFR §1.136(a) to grant any extension of time needed for timely response to the Office Action dated in the above-identified application to preserve pendency of said application. The processing fee under 37 CFR §1.17 has been determined as follows: \$ for a -month extension of time.
- The Director is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 16-2480. A duplicate copy of this sheet is attached.
  - ☒ Any patent application processing fees under 37 CFR §1.16.
  - ☒ Any patent application processing fees under 37 CFR §1.17.
- The Director is hereby authorized to make any additional copies of this sheet needed to accomplish the purposes provided for herein and to charge any fee for such copies to Deposit Account No. 16-2480.

*Brent M. Peebles*

Brent M. Peebles  
Attorney for Applicant(s)  
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Tel. No. (513) 627-6773

Date: September 7, 2004  
Customer No. 27752  
(Transamd.doc - last revised 4/30/2004)

Transmitted to: Commissioner for Patents at 151-812-7700 on September 7, 2004	
Brent M. Peebles	38,576
Name of Attorney	Registration No.
Signature of Attorney	

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